

# 2015

KTIML MFF UK Praha

**Iveta Mrázová**

Technical Report No. 2015/1/KTIML, Department of Theoretical Computer Science and Mathematical Logic, Faculty of Mathematics and Physics, Charles University in Prague, Czech Republic, November 2015, 33p.

---

## **DEEP NEURAL NETWORKS AND THEIR ROLE IN THE QUEST FOR HUMAN-LIKE BRAIN POWER\***

**PLENARY TALK, COMPLEX ADAPTIVE SYSTEMS CONFERENCE  
CAS 2015, NOVEMBER 2-4 2015, SAN JOSÉ, CA**

The long-term interest in cognitive sciences has been enhanced by several strong impulses to contemporary computer science - in particular by large government initiated brain research projects. Other developments shift the area even more from the traditional von Neumann computing paradigm towards true connectionism implemented in silicon, too. New imaging technologies allow to follow the brain activity even at the individual neuron's level. Inexpensive graphics processing units are becoming a common option for learning large-scale deep neural networks and currently unveiled brain-inspired chip architectures let us think of constructing complex cognitive algorithms mimicking the function of biological brains.

\*This research was partially supported by the Czech Science Foundation under Grant No. 15-04960S.

# CAS 2015 - Tentative Technical Program

<u>Monday, November 2, 2015</u>	<u>Tuesday, November 3, 2015</u>	<u>Wednesday, November 4, 2015</u>
8:00am-5:00pm <b>Registration</b>	8:00am-5:00pm <b>Registration</b>	8:00am-5:00pm <b>Registration</b>
9:00am-10:00am <b>Plenary Session</b> <b>Olivier de Weck, PhD</b> MIT <b>"When is complex too complex?"</b>	9:00am-10:00am <b>Plenary Session</b> <b>Iveta Mrázová, PhD</b> Charles University <b>"Deep Neural Networks and Their Role in the Quest for Human-Like Brain Power"</b>	9:00am-10:00am <b>Plenary Session</b> <b>Mike Calcagno</b> Microsoft <b>"Assistance Patterns: The DNA that will make Digital Assistants Helpful"</b>
10:30am-12:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Intelligent &amp; Adaptive Systems: Deep Neural Networks</li> <li>Data Science &amp; Analytics: Clustering &amp; Classification</li> </ul>	10:30am-12:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Cyber Physical Systems: Cyber Security</li> <li>Data Science &amp; Analytics: Social Network Data &amp; Collective Analytics</li> </ul>	10:15am-12:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Intelligent &amp; Adaptive Systems: Manufacturing Applications</li> <li>Cyber Physical Systems: Complex Analytics</li> </ul>
12:00pm-1:30pm <b>Luncheon &amp; Afternoon Plenary</b> <b>Amrita Basu, PhD</b> Lockheed Martin <b>"Exploiting Big Data in Precision Medicine"</b>	12:00pm-1:30pm <b>Luncheon &amp; Afternoon Plenary</b> <b>Sajal K. Das, PhD</b> Missouri S&T <b>"Beyond Cyber-Physical Era: What's Next?"</b>	12:00pm-1:15pm <b>Luncheon &amp; Afternoon Plenary</b> <b>Antoine Rauzy, PhD</b> Norwegian University of Science & Technology <b>"Models are Complex Too"</b>
1:30pm-3:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Business &amp; Financial Analytics</li> <li>Intelligent &amp; Adaptive Systems: Advances in Artificial Neural Networks</li> </ul>	1:30pm-3:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Cyber Physical Systems: Systems Modeling &amp; Design I</li> <li>Intelligent &amp; Adaptive Systems: Machine Learning</li> </ul>	1:15pm-3:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Intelligent &amp; Adaptive Systems: Engineering Applications of Machine Learning</li> <li>Cyber Physical Systems: Interacting Systems &amp; Collective Dynamics</li> </ul>
3:30pm-5:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Intelligent &amp; Adaptive Systems: Computational Intelligence</li> <li>Data Science &amp; Analytics: Knowledge Extraction &amp; Discovery</li> </ul>	3:30pm-5:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Cyber Physical Systems: Systems Modeling &amp; Design II</li> <li>Intelligent &amp; Adaptive Systems: Adaptive Control</li> </ul>	3:15pm-5:00pm <b>Concurrent Sessions</b> <ul style="list-style-type: none"> <li>Cyber Physical Systems: Complex Systems Architecture Assessment</li> <li>Cyber Physical Systems: Service &amp; Distributed Systems</li> </ul>
	7:00pm-9:30pm <b>Best Paper Awards Banquet</b> <b>Plenary Session</b> <b>Robert R. Hoffman, Ph.D</b> Institute for Human and Machine Cognition <b>"Challenges for a Theory of Complex Cognitive Work Systems"</b>	

## **Title of the Talk:**

Deep Neural Networks and Their Role in the Quest for Human-Like Brain Power

## **Abstract:**

The long-term interest in cognitive sciences has been enhanced by several strong impulses to contemporary computer science - in particular by large government initiated brain research projects. Other developments shift the area even more from the traditional von Neumann computing paradigm towards true connectionism implemented in silicon, too. New imaging technologies allow to follow the brain activity even at the individual neuron's level. Inexpensive graphics processing units are becoming a common option for learning large-scale deep neural networks and currently unveiled brain-inspired chip architectures let us think of constructing complex cognitive algorithms mimicking the function of biological brains.

Perhaps the first deep artificial neural network incorporating some neurophysiological insights was the Neocognitron. Recent brain-inspired models of artificial neural networks include especially the so-called Deep Belief Networks and Convolutional Neural Networks. Both types of networks comprise several layers of functional neurons and both of them proved to be able to beat human performance in various areas of 2D image recognition. These models are, however, expected to yield superior results also for many other tasks ranging from language understanding and translation to multimedia data processing, among others.

While the majority of classical image processing techniques is based on carefully pre-selected image features, deep neural networks are designed to learn local features autonomously with minimum or no advanced pre-processing. The representations formed in their hidden layers resemble a hierarchy combining simpler features found at lower layers into more complex features detected at higher layers. Deep networks can be moreover trained by means of unlabeled data collected, e.g., from the internet. The found features can then be used as common building blocks for new images if labeled data is scarce.

## **Curriculum Vitae:**

Iveta Mrázová, PhD is Associate Professor and Head of Department of Theoretical Computer Science and Mathematical Logic at Faculty of Mathematics and Physics, Charles University in Prague, Czech Republic. She graduated from F. Schiller University in Jena, Germany in 1989 and received her Ph.D. from the Institute of Computer Science of the Czech Academy of Sciences in Prague in 1997. During 2002-2003, she was a Fulbright fellow at Missouri University of Science and Technology in Rolla, USA. Her research interests include artificial intelligence, machine learning and data mining. She published more than 50 research papers focused mainly on the areas of artificial neural networks and knowledge extraction.

# Deep Neural Networks:

## the Quest for Human-Like Brain Power



***Iveta Mrázová, Ph.D.***

*Department of Theoretical Computer Science and  
Mathematical Logic, Faculty of Mathematics and Physics,  
Charles University in Prague, Czech Republic*

**Plenary Talk, CAS 2015, San José, USA, 3. 11. 2015**

## Outline

- ❑ **Motivation**
- ❑ **Deep Neural Architectures**
- ❑ **Applications**
- ❑ **Conclusions**

# Motivation

- ❑ **Large Government-Initiated Brain Research Projects**
- ❑ **Connectionism Implemented in Silicon**
- ❑ **New Imaging Technologies**
- ❑ **New Neurobiological Discoveries**

CAS 2015, San José

3

## Large-Scale Brain Projects



### **BRAIN Initiative** - USA, April 2013

~ **B**rain **R**esearch Through **A**dvancing **I**nnovative **N**eurotechnologies  
on a par with the Apollo Program to land humans on the moon  
<http://www.whitehouse.gov/infographics/brain-initiative>

**Goal:** understand the human mind and uncover new ways to treat, prevent, and cure brain disorders like Alzheimer's, schizophrenia, autism, epilepsy, and traumatic brain injury

Expected costs: > 4 billion USD / 10 years

Participants: **DARPA** ~ Defense Advanced Research Projects Agency  
**IARPA** ~ Intelligence Advanced Research Projects Activity  
**NIH** ~ National Institutes of Health  
**NSF** ~ National Science Foundation  
**FDA** ~ Food and Drug Administration  
**private sector**

CAS 2015, San José

4

## Large-Scale Brain Projects



### Human Brain Project

**HBP** ~ Human Brain Project – EU, January 2013

<https://www.humanbrainproject.eu/>

**Goal:** understand what makes us human (through brain-wide analyses of neural network activity at the level of single neurons), develop new treatments for brain disorders and build revolutionary new computing technologies.

**13 Subprojects:** *Strategic Mouse Brain Data*  
*Strategic Human Brain Data*  
*The Brain Simulation Platform,*  
*The High Performance Computing Platform, etc.*

**Expected costs:** 1.3 billion USD / 10 year

**Participants:** **112 partners from 24 countries**

CAS 2015, San José

5

## Large-Scale Brain Projects



### Brain/MINDS

**Brain/MINDS Project** - Japan, 2014

~ Brain Mapping by Integrated Neurotechnologies for Disease Studies

<http://brainminds.jp/en/>



**Goal:** study the neural networks controlling higher brain functions in the marmoset, to get new insights into information processing and diseases of the human brain such as dementia and depression

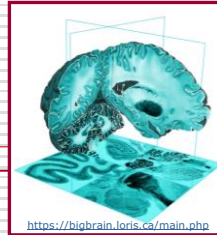
**Expected costs:** 300 million USD / 10 years

**Participants:** **RIKEN Brain Science Institute** – Core Institute  
**Keio University** – Partner Institute  
**Kyoto University** – Partner Institute  
... and several other institutions (mainly academic)

CAS 2015, San José

6

# Large-Scale Brain Projects



## Government-Initiated:

- ❑ **China Brain Science Project**, 2015
  - ~ is focused on developmental, psychiatric and neurodegenerative disorders and should promote breakthroughs in AI research to reshape country's industry, military, and service structure for the new industrial revolution
- ❑ huge projects launched also by **Israel** and **Canada**

## Other brain research projects include:

- ❑ **Allen Brain Atlas** - Allen Institute for Brain Science, USA, 2003
- ❑ **BigBrain** - Montreal Neurological Institute and German Forschungszentrum Jülich, June 2013  
<https://bigbrain.loris.ca/main.php>

CAS 2015, San José

7

# Connectionism Implemented in Silicon: Early Attempts

## "ACE" MAY BE FASTEST BRAIN

### BRITISH ROBOT ON DISPLAY

**DAILY TELEGRAPH REPORTER**  
 An electronic "brain," which is expected to outshine all rivals by its speed in working out mathematical problems, is being developed by the National Physical Laboratory. It is known as "Ace" (automatic computing engine).  
 One of Ace's 43 "brain cells," 6ft high, was displayed in the library of the Royal Society, Burlington House, yesterday. It

was an exhibit in a collection illustrating the development of the National Physical Laboratory, which celebrates its jubilee this year.

Dr. E. C. BULLARD, director of the laboratory, told me he hoped that Ace would be completed, with memory built in, by the summer. It would then tackle calculations a thousand times as quickly as a girl with a desk computer, and would be able to "remember" 256 10-digit numbers at a time.

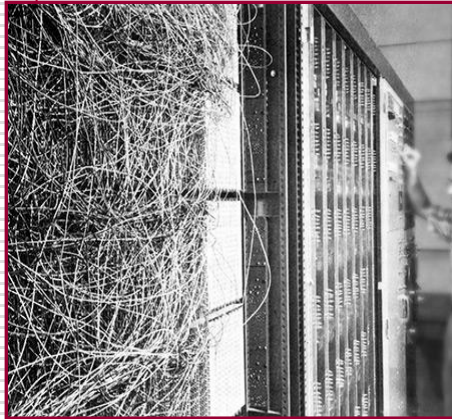
Ace should surpass the world's most advanced electronic calculator, completed at Cambridge University mathematical laboratory last summer. It should prove invaluable to scientists engaged on research into atomic energy or aerodynamics.

Young demonstrators operated yesterday a test panel as easily as if it had been a cricket score-board. But they admitted that Ace could not test Prof. Einstein's latest formulae. "Ace does not deal with theories—only with practicalities."

The Daily Telegraph, 31 January 1950

8

## Connectionism Implemented in Silicon: The Mark I Perceptron



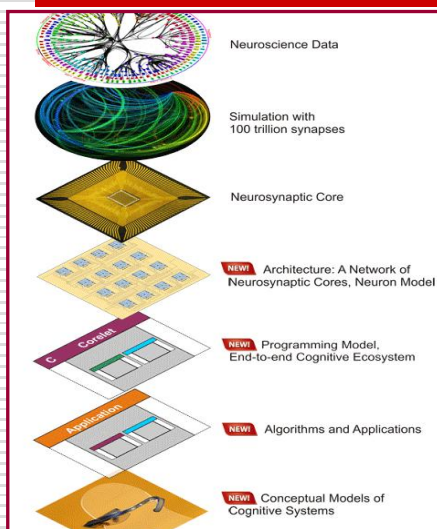
### A visual pattern classifier:

- ❑ **20x20** photosensitive **input units** modeling a small retina
- ❑ **512 hidden units** (stepping motors) each of which could take several excitatory and inhibitory inputs
- ❑ **8 output** (response) **units**
- ❑ connections from the input to the hidden layer could be altered through **plug-board wiring**, but once wired they remained fixed for the experiment
- ❑ connections from the hidden to the output layer were adjusted through **perceptron training**

The Mark I Perceptron, Cornell Aeronautical Laboratory, 1957-1959

9

## Connectionism Implemented in Silicon – the project SyNAPSE



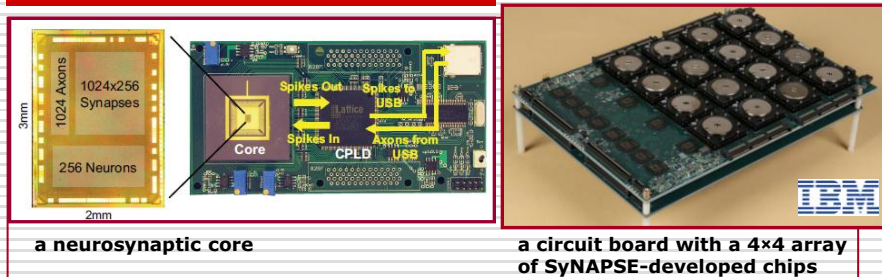
### ~ Systems of Neuromorphic Adaptive Plastic Scalable Electronics

- ❑ A DARPA program undertaken by HRL, HP and IBM (Dr. D. Modha)
- ❑ **Goal:** develop a novel cognitive computing architecture inspired by the function, low power, and compact volume of the brain
- ❑ non von Neumann architecture (neuromorphic computing)
- ❑ applications, e.g., in image and video processing, NLP, composer recognition, collision avoidance

10



## Connectionism Implemented in Silicon – Neurosynaptic Chips



a neurosynaptic core

a circuit board with a 4x4 array of SyNAPSE-developed chips

- ❑ neurosynaptic TrueNorth Chip (with 4096 neurosynaptic cores)
- ❑ 1 million programmable neurons (cca 86 bn in human brains)
- ❑ 256 million configurable synapses (cca  $10^{14}$ – $10^{15}$  for humans)
- ❑ efficient, scalable, flexible

CAS 2015, San José

11

## Connectionism Implemented in Silicon – Data Storage

A new hand-sized tape cartridge can store 220 TB of data:

- ❑ big data
- ❑ cloud computing
- ❑ cheap



❑ IBM, Sony, ...

### IBM's Tale of the Tape

More than 60 years of tape innovation

	2006	2010	2014	2015
Aerial Density (bits per sq inch)	6.67 Billion	29.5 Billion	85.9 Billion	123 Billion
Cartridge Capacity	8 Terabytes	35 Terabytes	154 Terabytes	220 Terabytes
Number of Books Stored	8 Million	35 Million	154 Million	220 Million
Track Width (micrometers)	1.5	0.45	0.177	0.140
Linear Density (bits per inch)	400'000	518'000	600'000	680'000
Tape Material	Barium Ferrite	Barium Ferrite	Barium Ferrite	Barium Ferrite
Tape Thickness (micrometers)	6.1	5.9	4.3	4.3
Tape Length (meters)	890	917	1255	1255

IBM is a registered trademark of International Business Machines Corporation. IBM, the IBM logo, and other marks contained herein are trademarks of International Business Machines Corporation. © 2015 IBM Corp. All rights reserved.

IBM

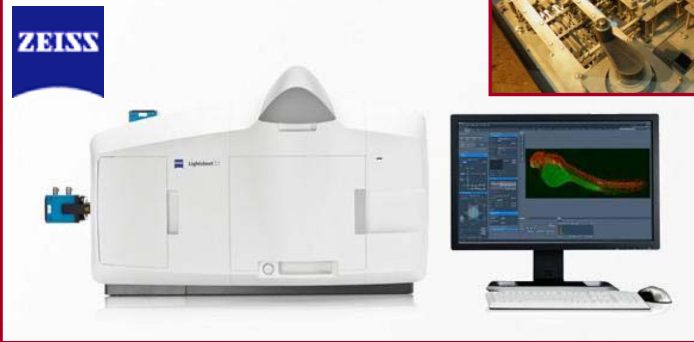
CAS 2015, San José

12

## New Imaging Technologies: Lightsheet Microscopy

Z1 was world's first program-controlled computer

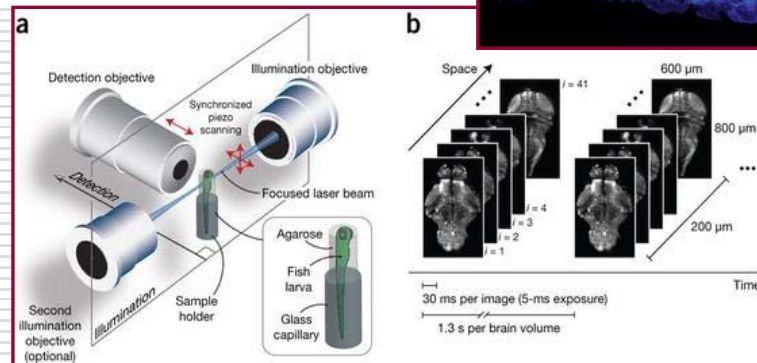
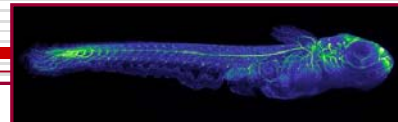
- ❑ **ZEISS Lightsheet Z.1:**
  - ❑ 32 TB Storage and Data Analysis Module
  - ❑ weights cca 500 lbs



CAS 2015, San José

13

## New Imaging Technologies: Lightsheet Microscopy

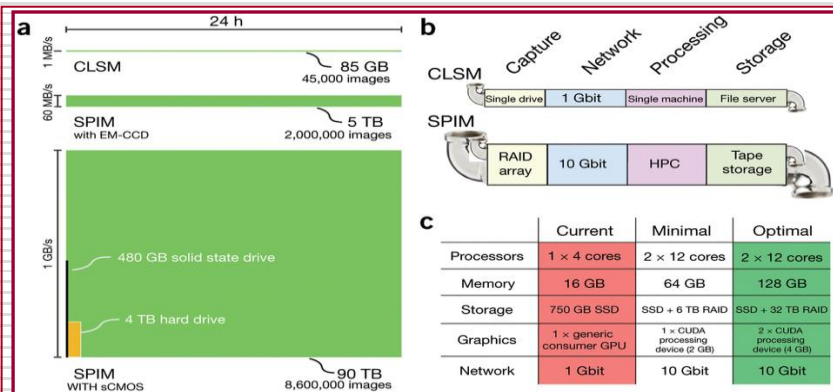


- ❑ based on the principles of ultramicroscope developed by Richard Adolf Zsigmondy in 1902 (Nobel Prize in 1925)

[http://www.nature.com/nmeth/journal/v10/n5/fig\\_tab/nmeth.2434\\_SV4.html](http://www.nature.com/nmeth/journal/v10/n5/fig_tab/nmeth.2434_SV4.html)

14

## New Imaging Technologies: Lightsheet Microscopy – System Requirements



adapted from E. G. Reynaud et al.: **Guide to Lightsheet Microscopy for Adventurous Biologists**, *Nature Methods*, Vol. 12, pp. 30-34, 2015.

CAS 2015, San José

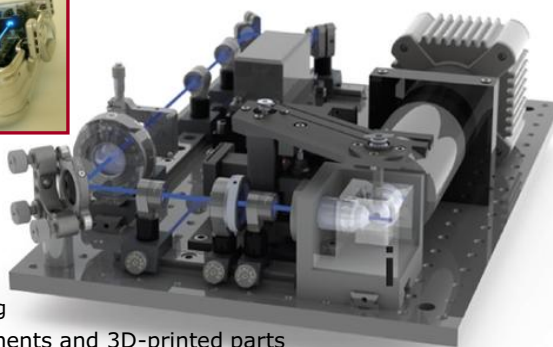
15

## New Imaging Technologies: Lightsheet Microscopy

**OpenSPIM** (~ Open Source Selective Plane Illumination Microscopy)



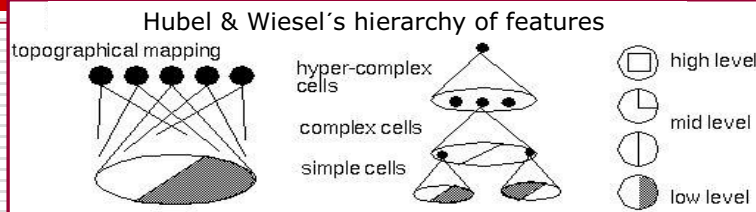
- portable
- cheap  
~ 7000 EUR
- easy to assemble  
<http://openspim.org>
- off-the-shelf components and 3D-printed parts



CAS 2015, San José

16

## Neurobiological Breakthroughs: Understanding of the visual system



- ❑ **based on the research of David H. Hubel and Torsten N. Wiesel on functional architecture in the cat's visual cortex in 1959 and 1962 (Nobel Prize in 1981)**
- ❑ **architecture of the visual system** ~ individual cortical cells respond not to the presence of light, but rather to contours of specific orientation; feature-detecting cells form a hierarchy of multiple stages
- ❑ **ocular dominance** ~ the preference of cells that process visual stimuli to respond to input from one or the other eye.  
=> therapy for children born with cataracts or strabismus

<http://cns-alumni.bu.edu/~slehar/webstuff/pcave/hubel.html>  
<https://youtu.be/IOHayh06LJ4>

17

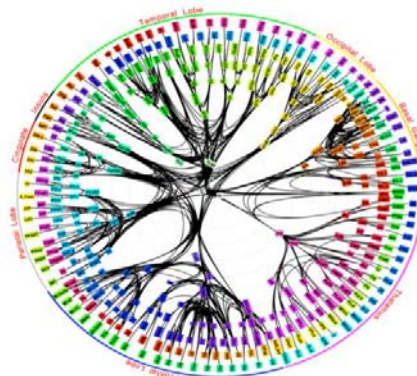
## Neurobiological Breakthroughs: Brain Connections

### Macaque brain long distance network

<https://youtu.be/YZTRxKyx410>

#### Connectograms:

- ❑ 2D-graphs of long-distance connections in the brain
- ❑ based on in vivo and non-invasively obtained diffusion magnetic resonance imaging data (MRI)
- ❑ insight into pathologies
- ❑ Dharmendra S. Modha, Raghavendra Singh: Network architecture of the long-distance pathways in the macaque brain, PNAS 2010;107:13485-13490.



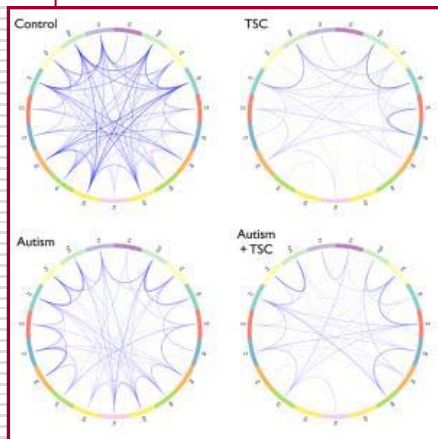
©2010 by National Academy of Sciences

CAS 2015, San José

18

## Neurobiological Breakthroughs: Brain Connections in Autism

Connectivity between 19 different brain regions, based on EEG data:

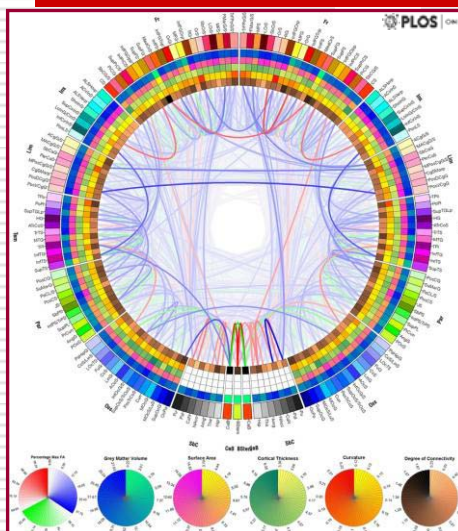


- 46 healthy neurotypical children,
- 16 children with classic autism,
- 14 children whose autism is part of a genetic syndrome called TSC
- 29 children with TSC but not autism
- **Both groups of children with TSC show fewer connections overall**
- **Both groups with autism have more connections between adjacent areas of the brain and fewer connections across distant areas.**

JM Peters et al.: "Brain functional networks in syndromic and non-syndromic autism: a graph theoretical study of EEG connectivity," *BMC Medicine*. Published online Feb. 27 2013

19

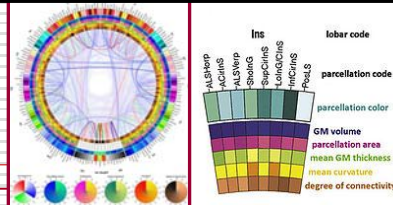
## Neurobiological Breakthroughs: Connections and cortical measures of 110 normal, right-handed males, aged 25-36



- Van Horn JD, Irimia A, Torgerson CM, Chambers MC, Kikinis R, et al. (2012) **Mapping Connectivity Damage in the Case of Phineas Gage**. *PLoS ONE* 7(5): e37454. doi:10.1371/journal.pone.0037454

20

# Neurobiological Breakthroughs



## Connectogram with cortical measures:

- ❑ 110 normal, right-handed males, aged 25-36
- ❑ the left hemisphere is depicted on the left, the right hemisphere on the right
- ❑ each cortical area is labeled with an abbreviation and assigned its own color
- ❑ the concentric circles represent additional attributes of the corresponding cortical region (grey matter volume, surface area, degree of connectivity, etc.)
- ❑ inside the circles, lines connect regions that are structurally connected
- ❑ the density (number of fibers) of the connections is reflected in the opacity of the lines

CAS 2015, San José

21

# Neurobiological Breakthroughs: Neuron-Specific Optogenetic Control

## Optogenetics ~ brain control with light

- ❑ allows for fine manipulation of neuronal activity to control the function of neuronal microcircuits *in vitro* and *in vivo*
- ❑ only the genetically targeted cells will be under the control of the light while leaving other cells to function normally
- ❑ optical stimulation (light in the UV to the IR wavelengths) can control (either excite or inhibit) genetically targeted neurons in the brain with a high spacial and temporal resolution

## Control of social / asocial behavior in mice amygdala

- ❑ ChR2 Stimulation of MeApd Neurons Triggers Aggression toward a Female Intruder
- ❑ ChR2 Stimulation of vGLUT2<sup>+</sup> Neurons Promotes Repetitive Self-Grooming Behavior
- ❑ ChR2 Stimulation of vGAT<sup>+</sup> Neurons Suppresses Repetitive Self-Grooming Behavior
- ❑ <http://www.sciencedirect.com/science/article/pii/S0092867414010393>

W Hong, D-W Kim, DJ Anderson: Antagonistic Control of Social versus Repetitive Self-Grooming Behaviors by Separable Amygdala Neuronal Subsets, Cell 158 (6), 2014, 1348-1361. 22



# Deep Neural Architectures

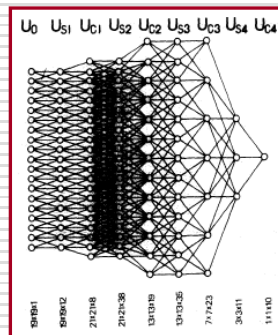
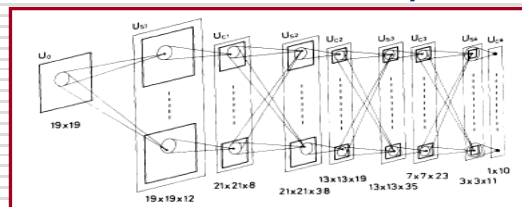
- ❑ Motivated by biological neural networks
- ❑ Some functions compactly represented with  $k$  ( $k > 2$ ) layers may require exponential size with 2 layers
- ❑ Hierarchy, structure, sparse coding and shared representations
- ❑ Various approaches include:
  - ❑ Neocognitron
  - ❑ Multilayer Perceptrons and Error Back Propagation
  - ❑ Convolutional Neural Networks
  - ❑ Deep Belief Networks

CAS 2015, San José

23

# Neocognitron

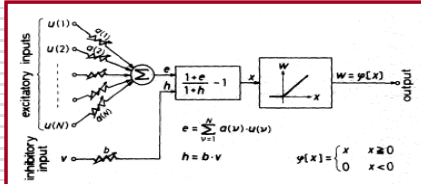
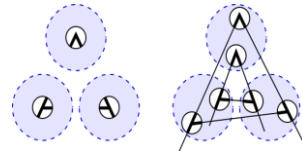
- ❑ Proposed by Kunihiro Fukushima in 1980
- ❑ Kunihiro Fukushima: **Neocognitron: A Hierarchical Neural Network Capable of Visual Pattern Recognition**, *Neural Networks*, Vol. 1, pp. 119-130, 1988.
- ❑ **Sparse hierarchical network structure**
- ❑ **1D-view of interconnections between the neurons from different layers**



CAS 2015, San José

24

# Neocognitron: two types of neurons

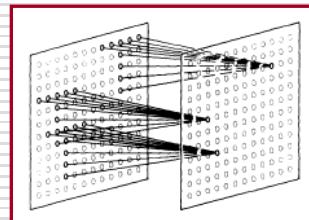


## □ S-cells:

- extract features at certain positions
- variable incoming weights reinforced during training

## □ C-cells:

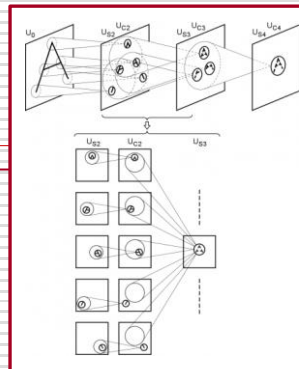
- support shift invariance in the input
- fixed incoming weights
- receive signals from several S-cells extracting the same feature, but at different positions
- activated if at least some of these S-cell groups is active



K. Fukushima: **Neocognitron: A Hierarchical Neural Network Capable of Visual Pattern Recognition**, *Neural Networks*, Vol. 1, pp. 119-130, 1988.

25

# Neocognitron: the recall process



- the cells are arranged into 2D-arrays (~ cell-planes)
- alternating layers of S- and C-cells
- simple features extracted in lower layers are combined into more complex features at higher layers
- the cells at higher layers process larger areas of the input
- neighbouring cells receive similar signals
- at the top layer, there is only 1 C-cell in each cell-plane
  - each of these C-cells is activated only by input patterns from the corresponding category

K. Fukushima: **Neocognitron: A Hierarchical Neural Network Capable of Visual Pattern Recognition**, *Neural Networks*, Vol. 1, pp. 119-130, 1988.

26



# Neocognitron: the training process

## Two main principles:

### 1. Reinforcement of maximum output cells

- ❑ Only the cell best responding to the training stimulus will be selected to have its weights reinforced
- ❑ Once a cell is selected and its weights reinforced, it usually loses its responsiveness to other features

### 2. Development of iterative connections

- ❑ All the S-cells in the cell-plane respond to the same feature, and the differences between them arise only from the difference in position of the feature to be extracted

CAS 2015, San José

27

# Neocognitron - training

## 1. Initialize the weights with small positive values.

## 2. Repeat until convergence

- ❑ present an input pattern to the network;
- ❑ in each cell-plane, choose the S-cell with the strongest response ( $\sim$  the seed cell);
- ❑ reinforce the weights of the input connections for the selected "winning" S-cell to strengthen its response to the detected feature;
- ❑ reinforce also the weights of the input connections for all other S-cells from the same cell-plane using the "winning" cell as a template.

CAS 2015, San José

28

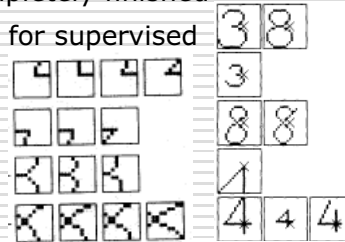
## Neocognitron: characteristic properties

???



Image courtesy  
of A. J. Frazer

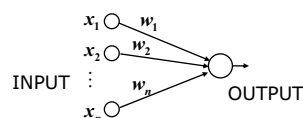
- A pioneering neural network model capable of learning to recognize 2D-visual patterns
- Robust to errors in position, scale and distortion
- Higher layers can be trained only after the training of preceding stages has been completely finished
- Labeled seed cells are required for supervised training
- During selforganization, maximum output cells are selected automatically as seed cells



K. Fukushima: **Neocognitron: A Hierarchical Neural Network Capable of Visual Pattern Recognition**, *Neural Networks*, Vol. 1, pp. 119-130, 1988.

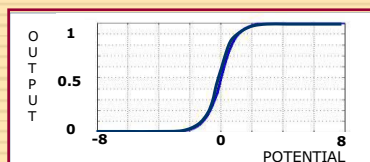
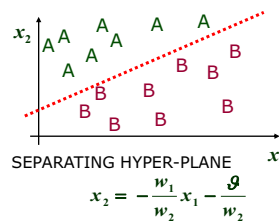
29

## Multilayer Perceptrons: Formal Neuron



$$\xi = \sum_{i=1}^n w_i x_i + \theta$$

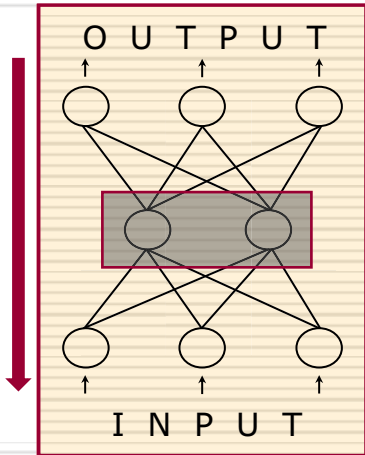
$$y = f(\xi) = \frac{1}{1 + e^{-\xi}}$$



$$y = \begin{cases} 1 & \text{if } \sum_{i=1}^n w_i x_i + \theta \geq 0 : \text{CLASS A} \\ -1 & \text{if } \sum_{i=1}^n w_i x_i + \theta < 0 : \text{CLASS B} \end{cases}$$

CAS 2015, San José

# Multilayer Perceptrons and the Error Back Propagation



- compute the actual response of the network and compare it with its desired response

$$E = \frac{1}{2} \sum_p \sum_j (y_{j,p} - d_{j,p})^2$$

desired output  $d_{j,p}$   
actual output  $y_{j,p}$   
patterns  $p$      output neurons  $j$

- **Goal: minimize the error**
  - adjust the weights and thresholds
  - from the output to the input

CAS 2015, San José

31

# Multilayer Perceptrons and Error Back Propagation

- First used for gradient evaluation by Paul J. Werbos in 1974

- 1: **Initialize the weights to small random values**
- 2: **Present a new training pattern in the form of:** [input  $\mathbf{x}$ , desired output  $\mathbf{y}$ ]
- 3: **Calculate actual output:** in each layer, the activity of neurons is given by:

$$y_j = f(\xi_j) = \frac{1}{1 + e^{-\lambda \xi_j}}, \quad \text{where } \xi_j = \sum_i y_i w_{ij}$$

- 4: **Weight adjustment:** start at the output layer and proceed back towards the input layer according to:

$$w_{ij}(t+1) = w_{ij}(t) + \alpha \delta_j y_i + \alpha_m (w_{ij}(t) - w_{ij}(t-1))$$

$$\delta_j = \begin{cases} (d_j - y_j) \lambda y_j (1 - y_j) & \text{for an output neuron} \\ \left( \sum_k \delta_k w_{jk} \right) \lambda y_j (1 - y_j) & \text{for a hidden neuron} \end{cases}$$

for the weight  $w_{ij}(t)$  from neuron  $i$  to neuron  $j$  in time  $t$ ; learning/momentum rates  $\alpha / \alpha_m$ ; potential / local error on neuron  $j$  denoted as  $\xi_j / \delta_j$ ; the index  $k$  for the neurons from the layer above the neuron  $j$  and the slope of the transfer function  $\lambda$

- 5: **Repeat by going to step 2**

D. E. Rumelhart, G. E. Hinton, R. J. Williams: **Learning Representations by Back-Propagating Errors**, *Nature*, Vol. 323, pp. 533-536, 1986.

32

# George's Girls

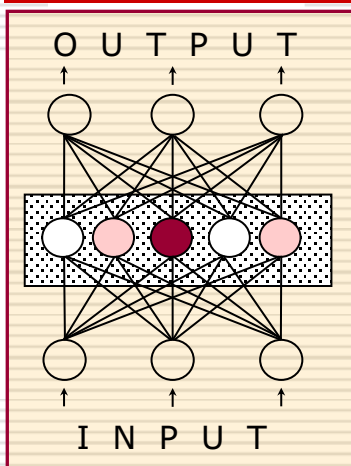
**Task:** guess if George will like that girl

	hair length	intelligence	sense of humor	blue eyes	1.hidden neuron	2. hidden neuron	attractivity
1.	0.84	0.39	0.78	0.79	0.64	1.00	<b>0.42</b>
2.	0.91	0.19	0.33	0.77	0.00	1.00	<b>0.20</b>
3.	0.27	0.55	0.47	0.69	0.98	1.00	<b>0.50</b>
4.	0.36	0.51	0.95	0.91	0.86	1.00	<b>0.60</b>
5.	0.63	0.71	0.14	0.61	0.85	1.00	<b>0.62</b>
6.	0.02	0.24	0.13	0.80	0.02	1.00	<b>0.05</b>
7.	0.61	0.69	0.63	0.52	1.00	1.00	<b>0.80</b>
8.	0.49	0.97	0.29	0.77	0.59	1.00	<b>0.40</b>

CAS 2015, San José

33

## Multilayer Perceptrons: what are the neurons really doing?



□ activity interpretation for hidden neurons:

- 1 ↔ active ↔ YES
- 0 ↔ passive ↔ NO
- ◐ 0.5 ↔ silent ↔ DON'T KNOW

- transparent structure
- detection and pruning of redundant neurons
- improved generalization

CAS 2015, San José

34

## George's girls revisited

How many neurons will George need to solve his problem?

	hair length	intelligence	sense of humor	blue eyes	1.hidden neuron	2. hidden neuron	attractivity
1.	0.84	0.39	0.78	0.79	0.64	1.00	<b>0.42</b>
2.	0.91	0.19	0.33	0.77	0.00	1.00	<b>0.20</b>
3.	0.27	0.55	0.47	0.69	0.98	1.00	<b>0.50</b>
4.	0.36	0.51	0.95	0.91	0.86	1.00	<b>0.60</b>
5.	0.63	0.71	0.14	0.61	0.85	1.00	<b>0.62</b>
6.	0.02	0.24	0.13	0.80	0.02	1.00	<b>0.05</b>
7.	0.61	0.69	0.63	0.52	1.00	1.00	<b>0.80</b>
8.	0.49	0.97	0.29	0.77	0.59	1.00	<b>0.40</b>

CAS 2015, San José

35

## The German Traffic Sign Competition (IJCNN 2011)

### Convolutional Neural Networks performed best!

- No need for custom-made image pre-processing
- 98.98 % (Schmidhuber et al), 98.97 % (LeCun et al), 98.81 % (human performance)



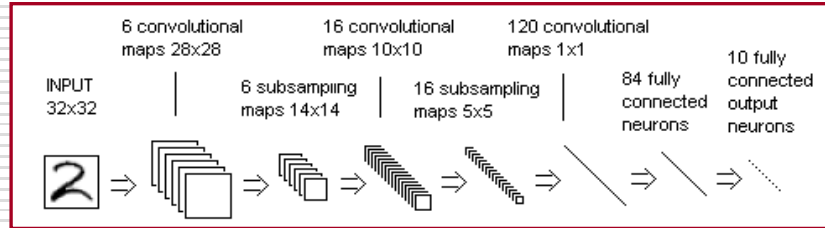
CAS 2015, San José

36

# CNN-networks

## (Convolutional neural networks)

### The LeNet-5 model (Yan LeCun et al. 1998)



- Trained by back-propagation (sparse connectivity)
- Local receptive fields, weight sharing and spatial sub-sampling (alternating convolutional and subsampling layers)
- Invariant object recognition (up to a certain degree)
- X Fixed number of feature maps in each layer!**

Y. LeCun, L. Bottou, Y. Bengio, P. Haffner: **Gradient-Based Learning Applied to Document Recognition**, *Proc. of the IEEE*, Vol. 86, pp. 2278–2399, 1998. 37

# CNN-networks:

## the convolutional layer /

- receptive fields of the same size  $r_c^l \times r_c^l$  overlapping in  $r_c^l - 1$  rows/columns
- neuron  $(i,j,f,l)$  at the position  $(i,j)$  in the feature map  $f$  of the layer  $l$  is thus connected to neurons  $(i+\Delta i, j+\Delta j, f', l-1)$  from the layer  $l-1$  by the weight  $w_{\Delta i, \Delta j}^{f, f', l}$  for  $f' \in F_{f,l}^{IN}$ ,  $0 \leq \Delta i, \Delta j \leq r_c^l - 1$ ; the neurons from the feature map  $f$  take their input from a set of feature maps  $F_{f,l}^{IN} \neq \{\}$
- The weights are shared for all the neurons from the same feature map
- **The potential  $\xi_{i,j}^{f,l}$  and output  $y_{i,j}^{f,l}$  of the neuron  $(i,j,f,l)$ :**

$$y_{i,j}^{f,l} = \xi_{i,j}^{f,l} = \sum_{f' \in F_{f,l}^{IN}} \sum_{\Delta i=0}^{r_c^l-1} \sum_{\Delta j=0}^{r_c^l-1} y_{i+\Delta i, j+\Delta j}^{f', l-1} \cdot w_{\Delta i, \Delta j}^{f, f', l}$$

- The size  $m_l \times n_l$  of all feature maps from  $l$  is imposed by the size of the feature maps in layer  $l-1$  and by the size of the receptive field

## CNN-networks: the subsampling layer /

- non-overlapping subsampling areas of the size  $r_x^l \times r_y^l$  (usually 2x2)
- multiplicative trainable coefficients  $a^{f,l}$  and additive trainable biases  $b^{f,l}$
- The potential  $\xi_{i,j}^{f,l}$  and output  $y_{i,j}^{f,l}$  of the neuron  $(i,j,f,l)$ :

$$\text{a) averaging: } \xi_{i,j}^{f,l} = \frac{1}{r_x^l \cdot r_y^l} \sum_{\Delta i=0}^{r_x^l-1} \sum_{\Delta j=0}^{r_y^l-1} y_{i+r_x^l+\Delta i, j+r_y^l+\Delta j}^{f,l-1}$$

$$\text{b) maximizing: } \xi_{i,j}^{f,l} = \max_{\Delta i \in \{0, \dots, r_x^l-1\}} \left( y_{i+r_x^l+\Delta i, j+r_y^l+\Delta j}^{f,l-1} \right)$$

$$y_{i,j}^{f,l} = f(a^{f,l} \cdot \xi_{i,j}^{f,l} + b^{f,l})$$

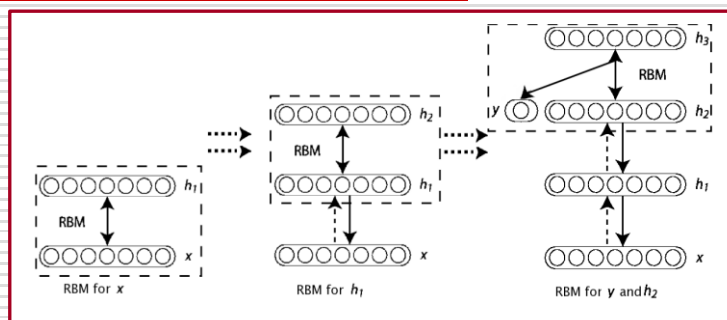
- The size  $m_l \times n_l$  of the feature maps from  $l$ :  $m_l = m_{l-1}/r_x^l$ ,  $n_l = n_{l-1}/r_y^l$

CAS 2015, San José

39

## DBN-networks

(Deep Belief Networks) G.E. Hinton et al. 2006



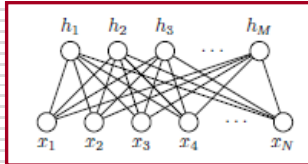
- Stacked Restricted Boltzmann Machines with a classifier
- Unsupervised pre-training (layer-wise)
- Short supervised fine-tuning

G. E. Hinton, S. Osindero, Y.-W. Teh: **A Fast Learning Algorithm for Deep Belief Nets**, *Neural Computation*, Vol. 18, pp. 1527–1554, 2006.

40

# RBM-networks

## (Restricted Boltzmann Machines)



hidden layer:  $\mathbf{h}=(h_1, h_2, \dots, h_M)$

visible layer:  $\mathbf{x}=(x_1, x_2, \dots, x_N)$

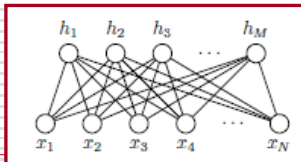
$$p(h_j = 1|\mathbf{x}) = \frac{1}{1 + e^{-\sum_i w_{ji}x_i - b_j}} \quad p(x_i = 1|\mathbf{h}) = \frac{1}{1 + e^{-\sum_j w_{ji}h_j - c_i}}$$

- A popular building block for deep architectures
- A bipartite undirected graphical model
- RBMs are universal approximators (with enough hidden units, they can perfectly model any discrete distribution)
- Adding one hidden unit (with a proper choice of parameters) guarantees increasing likelihood

N. Le Roux, Y. Bengio: Representational power of restricted Boltzmann machines and deep belief networks, *Neural Computation*, Vol. 20(6) pp. 1631–1649, 2008.

41

# RBM-networks



- **Energy function:**  $E(\mathbf{x}, \mathbf{h}) = -(\mathbf{x}^T \mathbf{W} \mathbf{h} + \mathbf{b}^T \mathbf{h} + \mathbf{c}^T \mathbf{x})$

- Probability of configuration  $(\mathbf{x}, \mathbf{h})$ :  $p(\mathbf{x}, \mathbf{h}) = \frac{e^{-E(\mathbf{x}, \mathbf{h})}}{\sum_{\mathbf{x}', \mathbf{h}'} e^{-E(\mathbf{x}', \mathbf{h}')}}$

- **Our goal:**  $p(\mathbf{x}) = p_{train}(\mathbf{x})$   
 $\Rightarrow$  maximize the likelihood of the training data

- As  $\frac{\partial \log p(\mathbf{x})}{\partial w_{ij}} = x_i^0 h_j^0 - x_i^\infty h_j^\infty \approx x_i^0 h_j^0 - x_i^k h_j^k$

**adjust the weights by:**  $w_{ij}^{t+1} = w_{ij}^t + \alpha \frac{\partial \log p(\mathbf{x})}{\partial w_{ij}}$   
 (and similarly for the biases)

CAS 2015, San José

42



# Applications

- ❑ Image Classification / Processing
- ❑ Signal and Multimedia Data Processing
- ❑ Knowledge Extraction and Interpretation

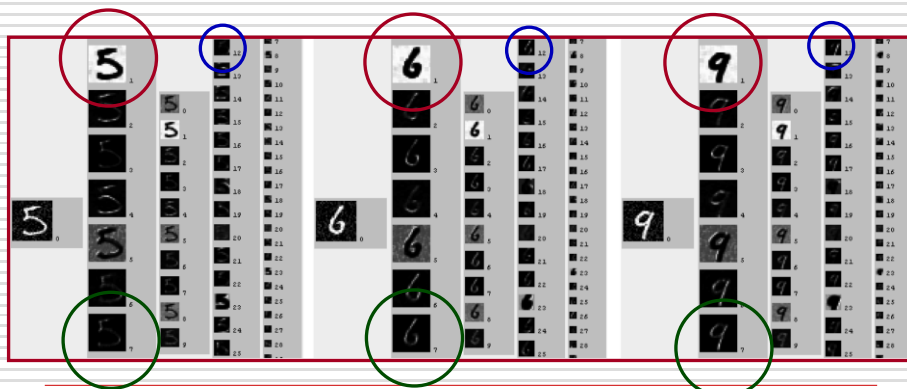
CAS 2015, San José

43

## Recognition of handwritten digits

accuracy of CNN-networks around 93 % (with M. Kukacka)

- ❑ Simple local primitives: e.g., **background**, **background followed by an object from the right**, **diagonal line**



CAS 2015, San José

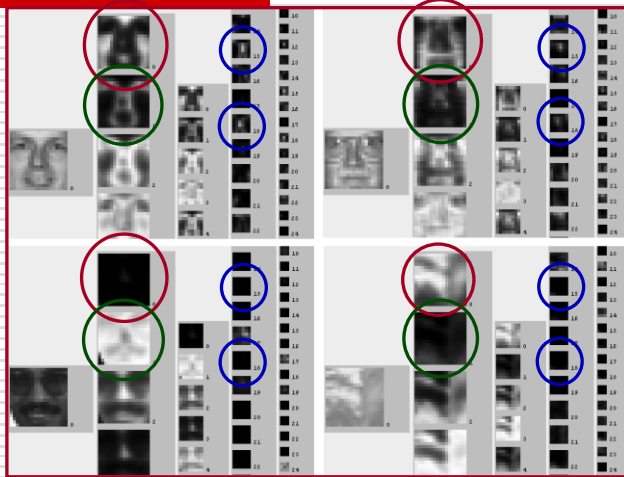
44

## Recognition of human faces

accuracy of CNN-networks almost 93 % (with M. Kukacka)

### Features:

- light surfaces
- dark surfaces
- light-coloured noses



CAS 2015, San José

45

## Detection of Hockey Players

accuracy of CNN-networks over 98.5 % (with M. Hrincar)

### Objective:

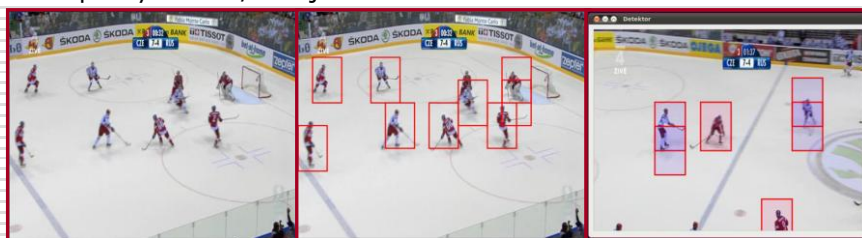
- Reliable online video processing for augmented reality

### Data:

- Records of broadcasted hockey matches (publicly available during the World Championships 2011 and 2012)

### Results:

- <http://tinyurl.com/hokejdetect>



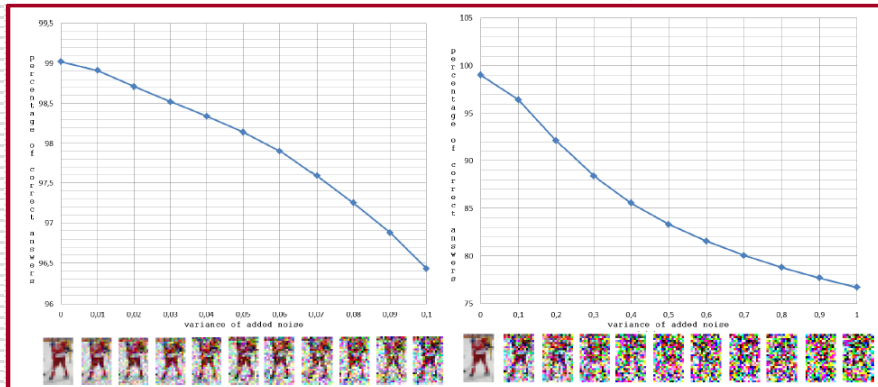
CAS 2015, San José

46

## Detection of Hockey Players

accuracy of CNN-networks over 98.5 % (with M. Hrincar)

- accuracy of a CNN-network trained on original data to Gaussian noise (with zero mean and growing variance)



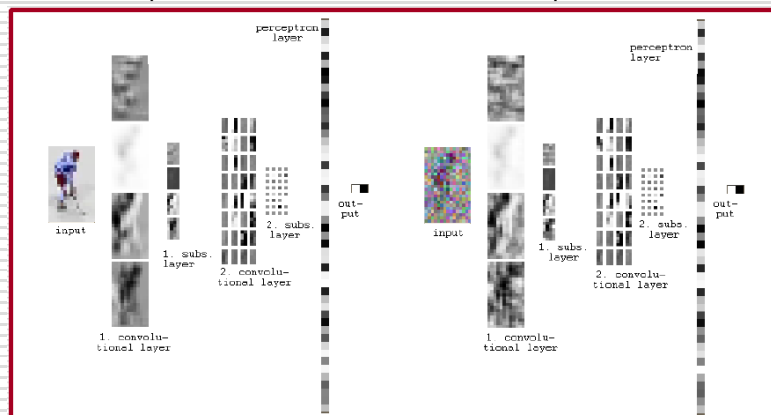
CAS 2015, San José

47

## Detection of Hockey Players

accuracy of CNN-networks over 98.5 % (with M. Hrincar)

- internal representations in the feature maps filter out the noise

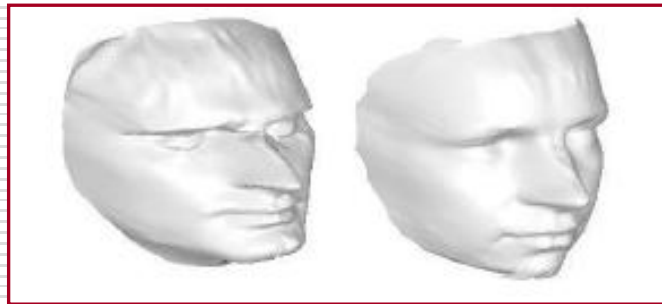


CAS 2015, San José

48

## Deep Neural Networks for 3D-data Processing (with J. Pihera and J. Veleminska)

- Detection of characteristic face features
- Classification of 3D-face models according to the person's gender



CAS 2015, San José

49

## George's Girls – Are That Girls?



Model - Andrej Pejic  
(source: idnes.cz)



Transsexual participant of  
Miss Universe Canada  
(source: idnes.cz)



Miss Tiffany's Universe  
trans-genders  
(source: super.cz)

- **Difficult to determine gender based on the face**

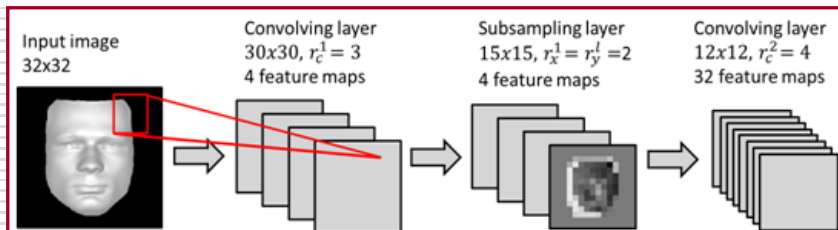
**Human performance  
(accuracy) on 3D-face  
scan classification:**

Gender of the participants	Gender of the faces		
	Men	Women	All
Men	78.1 %	54.5 %	65.2 %
Women	76.8 %	51.0 %	62.6 %
All	77.4 %	52.7 %	63.8 %

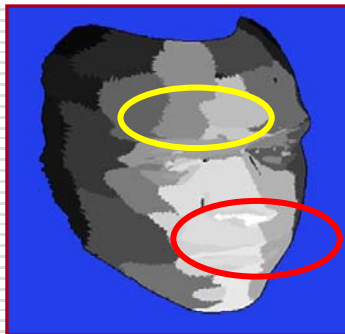
CAS 2015, San José

# Data and models

- 3D data – face models  
(courtesy of the Department of Anthropology and Human Genetics,  
Faculty of Natural Sciences of the Charles University in Prague)
- Theoretical model
  - Kohonen's SOM
  - GNG (Growing Neural Gas)
  - Convolutional Neural Networks ~ an advanced model for shape  
recognition in 2D-images



## Detection of characteristic face features (with J. Pihera and J. Velemínska)



SOM, 20x20 neurons, 34 clusters



GNG, 400 neurons, 40 clusters

- self-organizing neural network models trained on the face data
- clustering of the neurons and labeling of the clusters

## Sexual Dimorphism – classification according to person's gender

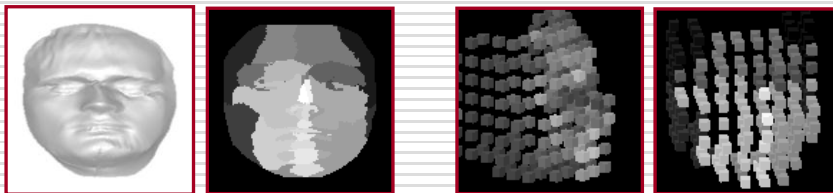
(with J. Pihera and J. Veleminska)

### a 2D-transform:

- a drawn 3D model (raw)
- pre-processing by means of a SOM
- images

### a 3D transform:

- direct / clustered
- pre-processing by means of a SOM
- 3D tensors ( $22^3$  voxels)



Examples of rotated and scaled patterns added to the training set.

CAS 2015, San José

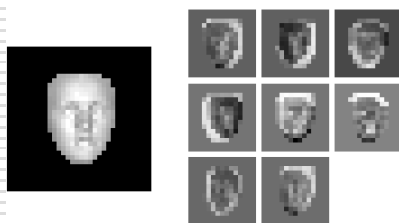
53

## Classification according to person's gender – 2D

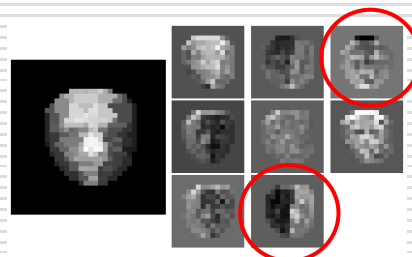
(with J. Pihera and J. Veleminska)

### Input and output of the first detection layer

**Raw:**



**Pre-processed by a SOM:**



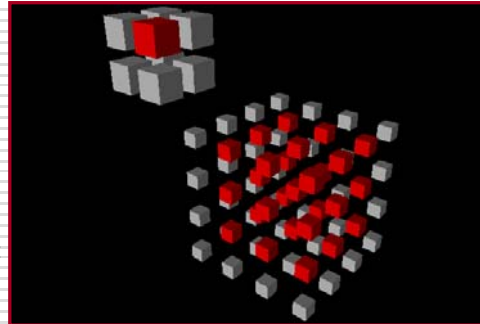
CAS 2015, San José

54

## Classification according to person's gender – 3D

(with J. Pihera and J. Veleminska)

- ❑ Convolutional neural networks were designed to process 2D-information
- ❑ 3D tensors at the input
- ❑ New model of ND-CNNs:
  - ❑ Extend the feature maps to process N-dimensional object information
- ❑ Feature maps shrink very fast **x** combine the input from a large region
- ❑ Complexity similar to CNNs



3D-convolution of a 4x4x4 feature map (right) with a 3x3x3 receptive field.

CAS 2015, San José

55

## Classification according to the person's gender – results

(with J. Pihera and J. Veleminska)

Transformation	Error	Standard deviation
2D Raw	0.85%	0.48
2D SOM	14.15%	1.43
3D Direct	8.15%	1.63
3D Direct, clustered	5.37%	1.52
3D SOM	1.28%	0.47

- ❑ **Classification according to person's gender is relatively precise**
- ❑ **Raw transformation yields better results for 2D**
- ❑ **Pre-processing by a SOM is better for 3D**

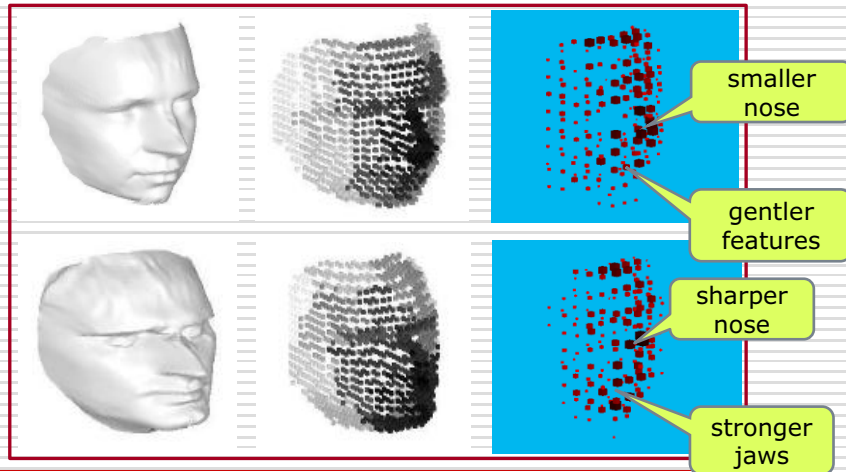
CAS 2015, San José

56

## Classification of 3D-face models:

accuracy of CNNs around 98% against 64% in humans

(with J. Pihera and J. Veleminska)



CAS 2015, San José

57

## Conclusions

- Understand the function of the brain
- Stimuli for science and industry
- Improved machine performance for at least some tasks should be very welcome

**... but shall we really let the machines copy everything from us - even courage, joy, curiosity, ...?**

CAS 2015, San José

58



# Thank you for your attention!



Images courtesy of A. J. Frazer

Enjoy the little things in life because one day you'll look back and realize they were the big things.



**Acknowledgments:** This research was partially supported by the Czech Science Foundation under Grant No. 15-04960S.